

**Varicose Vein Treatment  
Precertification Information Request Form**

**Applies to:**

**Aetna plans**

**Innovation Health® plans**

**Health benefits and health insurance plans offered, underwritten and/or administered by the following:**

**Allina Health and Aetna Health Insurance Company (Allina Health | Aetna)**

**Banner Health and Aetna Health Insurance Company and/or Banner Health and Aetna Health Plan Inc. (Banner | Aetna)**

**Sutter Health and Aetna Administrative Services LLC (Sutter Health | Aetna)**

**Texas Health + Aetna Health Plan Inc. and Texas Health + Aetna Health Insurance Company (Texas Health Aetna)**



# Varicose Vein Treatment Precertification Information Request Form

## About this form

**Do not use this form to initiate a precertification request.** To initiate a request, submit electronically on Availity or call our Precertification Department. Submit your medical records to support the request with your electronic submission.

We've made it easy for you to authorize services and submit any requested clinical information. Just use our provider portal on Availity®. Register today at [Availity.com/aetnaproviders](https://www.availity.com/aetnaproviders). Once your account is ready, you can start submitting authorization requests right away.

- For additional information on Availity, go to <https://www.aetna.com/health-care-professionals/resource-center/availity.html>

## Requesting authorizations on Availity is a simple two-step process

Here's how it works:

1. Submit your initial request on Availity with the Authorization (Precertification) Add transaction.
2. Then complete a short questionnaire, if asked, to give us more clinical information.
  - If you receive a pended response, then complete this form and attach it to the case electronically.

**This form will help you supply the right information with your precertification request. Typed responses are preferred. Failure to complete this form and submit all medical records we are requesting may result in the delay of review or denial of coverage.**

## How to fill out this form

As the patient's attending physician, you must complete all sections of the form. You can use this form with all Aetna health plans, including Aetna's Medicare Advantage plans. You can also use this form with health plans for which Aetna provides certain management services.

## When you're done

Once you've filled out the form, submit it and all requested medical documentation to our Precertification Department by:

- If your request was submitted via telephone, you can either:
  - Access our provider portal via Availity; enter the Reference number provided and attach this form and all requested medical documentation to the case or
  - Send your information by confidential fax to:
    - **Precertification-** Commercial and Medicare using FaxHub: **1-833-596-0339**
    - The fax number above (FaxHub) is for clinical information only. Please send specific information that supports your medical necessity review. Please continue to send all other information (claims etc) to appropriate fax numbers.
  - If you do not have fax or electronic means to submit clinical:
    - Mail your information to: **PO Box 14079**  
**Lexington, KY 40512-4079**  
(Please note mailing will add to the review response time)

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### What happens next?

Once we receive the requested documentation, we'll perform a clinical review. Then we'll make a coverage determination and let you know our decision. Your administrative reference number will be on the electronic precertification response.

### How we make coverage determinations

If you request precertification for a Medicare Advantage member, we use CMS benefit policies, including national coverage determinations (NCD) and local coverage determinations (LCD) when available, to make our coverage determinations. If there isn't an available NCD or LCD to review, then we'll use the Clinical Policy Bulletin referenced below to make the determination.

For all other members, we encourage you to review **Clinical Policy Bulletin #50: Varicose Veins**, before you complete this form.

You can find the Clinical Policy Bulletins and Precertification Lists by visiting the website on the back of the member's ID card.

### Questions?

If you have questions about how to fill out the form or our precertification process, call us at:

- HMO plans: [1-800-624-0756](tel:1-800-624-0756) (TTY: [711](tel:711))
- Traditional plans: [1-888-632-3862](tel:1-888-632-3862) (TTY: [711](tel:711))
- Medicare plans: [1-800-624-0756](tel:1-800-624-0756) (TTY: [711](tel:711))

## Varicose Vein Treatment Precertification Information Request Form

### Section 1: Provide the following general information

Typed responses are preferred. If the responses cannot be typed, they should be printed clearly  
If submitting request electronically, complete member name, ID and reference number only

<b>Member name:</b>	<b>Reference number (required):</b>
<b>Member ID:</b>	<b>Member date of birth:</b>
<b>Member phone number:</b>	
<b>Requesting provider/facility name:</b>	
<b>Requesting provider/facility NPI:</b>	
<b>Requesting provider/facility phone number: 1-      -</b>	
<b>Requesting provider/facility fax number: 1-      -      -</b>	
<b>Assistant/co-surgeon name (if applicable):</b>	<b>TIN:</b>

### Section 2: Provide the following patient-specific information.

Select any of the following the patient has experienced:

- Incompetence (i.e., reflux) at the saphenofemoral junction (SFJ) or saphenopopliteal junction (SPJ) documented by Doppler of duplex ultrasound scanning?  
 Left leg:     SFJ     SPJ  
 Right leg:     SFJ     SPJ
- Intractable ulceration secondary to venous stasis
- More than one episode of minor hemorrhage from a ruptured superficial varicosity
- Single significant hemorrhage from a ruptured superficial varicosity  
 Was a blood transfusion required?     Yes     No
- Recurrent superficial thrombophlebitis
- Severe and persistent pain and swelling interfering with activities of daily living and requiring chronic analgesic medication

Has the patient had any of the following procedures in the same anatomical location on the same leg?  
(check all that apply; indicate date(s) of each treatment)

- Great saphenous vein or small saphenous vein ligation / division / stripping  
 Left leg date(s) \_\_\_\_\_     Right leg date(s) \_\_\_\_\_
- Radiofrequency endovenous occlusion (VNUS procedure)  
 Left leg date(s) \_\_\_\_\_     Right leg date(s) \_\_\_\_\_
- Endovenous laser ablation of the saphenous vein (ELAS) - also known as endovenous laser treatment (EVL)  
 Left leg date(s) \_\_\_\_\_     Right leg date(s) \_\_\_\_\_
- Sclerotherapy (liquid or foam)  
 Left leg date(s) \_\_\_\_\_     Right leg date(s) \_\_\_\_\_
- Ambulatory phlebectomy or transilluminated powered phlebectomy (TriVex System)  
 Left leg date(s) \_\_\_\_\_     Right leg date(s) \_\_\_\_\_
- Other (please specify procedure):  
 Left leg date(s) \_\_\_\_\_     Right leg date(s) \_\_\_\_\_

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<b>Member ID:</b>	<b>Reference number:</b>
<b>Section 2 Continued: Provide the following patient-specific information</b>	
<p>Has the patient attempted a 3-month period of conservative management?  <input type="checkbox"/> Yes   <input type="checkbox"/> No</p> <p>If yes, did this include prescription gradient support stockings?   <input type="checkbox"/> Yes   <input type="checkbox"/> No</p> <p>Dates of use: _____ Results: _____</p>	
<b>Section 3: Provide the following general information</b>	
<p><b>Date of procedure:</b>        /        /</p> <p><b>Are all codes anticipated to be performed on a single date of service?</b>   <input type="checkbox"/> Yes   <input type="checkbox"/> No</p>	
<b>Diagnosis code(s):</b>	
<b>CPT/HCPCS codes and corresponding vein, descriptions that best describe the service(s) you'll provide. (For drugs/injectables, include any administration codes.)</b>	
<b>Section 4: Location where procedure will be performed</b>	
<p>Will the procedure be performed:  <input type="checkbox"/> Inpatient    <input type="checkbox"/> Outpatient</p>	
<p>If procedure to be performed outpatient indicate the setting:</p> <p><input type="checkbox"/> Outpatient hospital</p> <p><input type="checkbox"/> Ambulatory Surgical Center (free standing)</p> <p><input type="checkbox"/> Office</p>	
<p>If request is for Outpatient hospital check any/all that apply:</p> <p><input type="checkbox"/> Less than 12 years of age</p> <p><input type="checkbox"/> American Society of Anesthesiologists (ASA) Physical Status classification III or higher</p> <p><input type="checkbox"/> Danger of airway compromise</p> <p><input type="checkbox"/> Morbid obesity (BMI &gt; 35 with comorbidities or BMI &gt; 40)</p> <p><input type="checkbox"/> Pregnant</p> <p><input type="checkbox"/> Advanced liver disease</p> <p><input type="checkbox"/> Poorly controlled diabetes (hemoglobin A1C &gt; 7)</p> <p><input type="checkbox"/> End stage renal disease (ESRD) with hyperkalemia <input type="checkbox"/> or undergoing dialysis <input type="checkbox"/></p> <p><input type="checkbox"/> Active substance use related disorders (Includes alcohol dependence and/or current use of high dose opioids).</p> <p><input type="checkbox"/> Personal or family history of complication of anesthesia</p> <p><input type="checkbox"/> History of solid organ transplant requiring anti-rejection medication(s)</p> <p><input type="checkbox"/> Other unstable or severe systemic diseases, intellectual disabilities or mental health conditions that would be best managed in an outpatient hospital setting</p> <p><input type="checkbox"/> This will be a prolonged surgery (&gt;3 hrs.)</p>	

*Continued*

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<b>Member ID:</b>	<b>Reference number:</b>
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## Section 4: Location where procedure will be performed (continued)

High risk cardiac status:

- |  |  |
|--|--|
| <input type="checkbox"/> Myocardial infarction in last 90 days           | <input type="checkbox"/> Ongoing symptoms from previous MI |
| <input type="checkbox"/> Significant heart valve disease                 | <input type="checkbox"/> Symptomatic cardiac arrhythmia    |
| <input type="checkbox"/> Hypertension resistant to 3 or more medications |  |
| <input type="checkbox"/> Uncompensated chronic heart failure             |  |

Coronary artery disease (CAD) or peripheral vascular disease (PVD) with:

- |  |  |
|--|--|
| <input type="checkbox"/> Ongoing ischemia or recent MI/angioplasty PCI | <input type="checkbox"/> Drug Eluting Stent (DES) Bare Metal Stent placed in last year |
| <input type="checkbox"/> Angioplasty in last 90 days                   | <input type="checkbox"/> Current use of Aspirin or prescription anticoagulants         |

Comorbid neurological or neuromuscular condition

- |   |  |
|---|--|
| <input type="checkbox"/> Stroke/cerebrovascular accident (CVA)                                  | <input type="checkbox"/> Mini stroke/transient ischemic attack (TIA) |
| <input type="checkbox"/> Uncontrolled epilepsy  | <input type="checkbox"/> Cerebral palsy                              |
| <input type="checkbox"/> Multiple Sclerosis   | <input type="checkbox"/> Amyotrophic lateral sclerosis               |
| <input type="checkbox"/> Traumatic brain injury with significant cognitive or behavioral issues |  |
| <input type="checkbox"/> Muscular dystrophy   |  |

Respiratory conditions:

- Moderate to severe obstructive sleep apnea

Unstable respiratory status:

- Poorly controlled asthma (FEV1 < 80% despite medical management)
- COPD or
- Ventilator dependent patient

Bleeding or clotting disorders or conditions:

- |  |  |
|--|--|
| <input type="checkbox"/> Requiring replacement factor, blood products or special infusion products to correct a coagulation defect |  |
| <input type="checkbox"/> Thrombocytopenia (platelet <100,000/microL)   | <input type="checkbox"/> Anticipated need for blood or blood product transfusion |
| <input type="checkbox"/> Sickle cell disease   | <input type="checkbox"/> History of Disseminated Intravascular Coagulation (DIC) |

Do any of the following apply when procedure(s) to be performed at **outpatient hospital setting**:

- The required operative equipment is not available at a participating free-standing ambulatory surgical center or office based surgical center
- List specific equipment not available:
- There are no participating general or specialty surgery free-standing ambulatory surgical centers or office based surgical centers to perform procedure(s) planned

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<b>Member ID:</b>	<b>Reference number:</b>
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## Section 5: Provide the following documentation for your request

- Current history and physical
- All supporting medical records documenting clinical findings, including the following:
  - Signs and symptoms, including member’s complaint; and, duration and severity of varicose vein condition
  - Physical findings
- Doppler or duplex ultrasound scanning study **performed within the past 6 months** (submit actual reports):
  - Junctional reflux duration in the saphenofemoral or saphenopopliteal vein to be treated
  - Vein diameter below the saphenofemoral and below the saphenopopliteal junction
- Clinical records documenting the following:
  - Activities the patient must modify or eliminate due to pain and swelling caused by varicose veins.
  - The conservative management the patient has attempted to control pain and swelling, including the outcome.
  - Plan of care for treatment of the varicose vein(s)

## Section 6: Read this important information

Any person who knowingly files a request for authorization of coverage of a medical procedure or service with the intent to injure, defraud or deceive any insurance company by providing materially false information or conceals material information for the purpose of misleading, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

## Section 7: Sign the form

**Just remember: You can’t use this form to initiate a precertification request.** To initiate a request, you may submit your request electronically or call our Precertification Department.

**Signature of person completing form :**

**Date:**     /     /

**Contact name of office personnel to call with questions:**

**Telephone number:** 1-     -     -